



**Health & Human Services
Agency
COUNTY OF TULARE
AGENDA ITEM**

BOARD OF SUPERVISORS

KUYLER CROCKER
District One
PETE VANDER POEL
District Two
AMY SHUKLIAN
District Three
EDDIE VALERO
District Four
DENNIS TOWNSEND
District Five

AGENDA DATE: August 18, 2020 REVISED

Public Hearing Required	Yes	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Scheduled Public Hearing w/Clerk	Yes	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Published Notice Required	Yes	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Advertised Published Notice	Yes	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Meet & Confer Required	Yes	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Electronic file(s) has been sent	Yes	<input checked="" type="checkbox"/>	N/A	<input type="checkbox"/>
Budget Transfer (Aud 308) attached	Yes	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Personnel Resolution attached	Yes	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Agreements are attached and signature line for Chairman is marked with tab(s)/flag(s)	Yes	<input checked="" type="checkbox"/>	N/A	<input type="checkbox"/>
CONTACT PERSON: Nilsa Gonzalez PHONE: 559-624-7400				

SUBJECT: Ratify and approve a grant application for the California Department of Resources Recycling and Recovery Oil Payment program

REQUEST(S):
That the Board of Supervisors:

1. Ratify and approve a Used Oil Payment Program Application Certification for the CalRecycle Used Oil Program for funds to support the household hazardous waste used oil collection and disposal program, retroactive from July 7, 2020 through June 30, 2022. This application is retroactive due to the inadvertent delays in obtaining authorized signatures. It was impracticable for the Board to take action prior to July 7, 2022 due to the time needed to process, prepare, and submit the agenda item.
2. Find the Board had authority to approve and submit the Application Certification on July 7, 2020 and that it was in the County's best interest to submit the Application Certification on that date.
3. Ratify the Chairman's July 7, 2020 signature on the Used Oil Payment Program Application Certification.
4. Approve the attached "Resolution of the Tulare County Board of Supervisors Approving Submittal of Application(s) for CalRecycle Used Oil Payment Programs Fund (OPP)."
5. Authorize the Chairman of the Board to sign three (3) copies of the attached "Resolution of the Tulare County Board of Supervisors Approving Submittal of Application(s) for CalRecycle Used Oil Payment Programs Fund (OPP)."

SUBJECT: Ratify and approve a grant application for the California Department of Resources Recycling and Recovery Oil payment program

DATE: August 18, 2020

SUMMARY:

The California Oil Recycling Enhancement Act (Public Resources Code 48600 et seq.) provides up to \$11 million annually in payments to local governments for implementation of local used oil and filter collections programs.

Allocation of Used Oil Payment Program (OPP11) funds awarded to applicants is calculated on a per capita basis using the State of California's Department of Finance's population statistics. The OPP11 term covered by this application is from July 7, 2020 to June 30, 2022.

To apply for this cycle, the local government or the governmental entity acting on behalf of the local government must: (1) Submit a completed online application to CalRecycle, and post an electronic (Scanned) version of the signed Application Certification page in the online system; (2) Submit a copy of the Board Resolution designating and authorizing the Board Chairman as Signature Authority; (3) Retain in its Used Oil Payment Program file the original hard copy of the Application Certification page signed by Applicant's Signature Authority; and (4) Submit Letters of Authorization from participating jurisdictions.

Tulare County Environmental Health Division has participated in the Used Oil Payment Program since its inception in 2010. The funds allow for the collection and proper disposal of used oil within the County without any costs to residents using the program. Funds will be included in the County budgets for 2020-2021 and 2021-2022. The Application Guidelines delineate the controlling requirements for receiving, spending, and accounting for Used Oil Payment Program funds. The completed application and the guidelines constitute the Used Oil Payment Agreement.

The funds facilitate proper oil disposal for do-it-yourself oil changers and serves all people in the County by providing free access to proper disposal facilities. Further, by providing the service it also keeps ground water/runoff water clean thus serving all county residents.

The application process with CalRecycle is completed in two parts. The first part is the application certification. The application certification had to be executed and submitted by July 15, 2020 to be timely and taken into consideration. For the second part, CalRecycle requires execution and upload of the attached resolution and authorization letters no later than August 18, 2020. The term for performance of the program is July 7, 2020 through June 30, 2022. The State requires the resolution to be valid through August 14, 2022 to provide for execution and upload of payment request and final documents related to the Used Oil Payment Program. Eight cities in the County authorize the County as Lead, the cities do not spend or claim against the funds. Collection sites are located in all eight cities. The cities are Dinuba, Exeter, Farmersville, Lindsay, Porterville, Tulare, Visalia, and Woodlake.

SUBJECT: Ratify and approve a grant application for the California Department of Resources Recycling and Recovery Oil payment program

DATE: August 18, 2020

This item has been approved as to form by County Counsel. The following terms deviate substantively from the standard county boilerplate: 1) The State can terminate the agreement at any time upon 30 days written notice to the County; (2) The State can terminate the agreement immediately for cause; and 3) The County is required to indemnify, defend and hold harmless the State from any and all claims and losses arising out of the performance of the agreement.

FISCAL IMPACT/FINANCING:

Tulare County is eligible for CalRecycle funds for fiscal years 2020-2021 and 2021-2022. Funds are available upon timely application by the County. Revenues will be included in the fiscal year 2020-2021 and fiscal year 2021-2022 proposed budget. The amount of funds are not determined until after all applications are accepted on the final due date referenced above. The State then notifies all qualified applicants of the amount of the award. Past awards to Tulare County have been in the range of \$136,000 to \$137,500 for the two year term of the funding agreement. Funds are distributed in April of each year to the County and are placed in a trust fund and claimed against for the period of the funding agreement. There is no additional Net County Cost to the County General Fund.

LINKAGE TO THE COUNTY OF TULARE STRATEGIC BUSINESS PLAN:

The County's five-year strategic plan includes the Safety and Security initiative that provides an adequate and safe water supply. This agreement provides the ability to fulfill this obligation. The funding being applied for supports a program that assists in fulfilling this obligation by keeping oil from being disposed of in storm drains and ensuring disposal in an environmentally safe manner, by providing a cost free disposal alternative for County residents.

ADMINISTRATIVE SIGN-OFF:

/s/Robert Stewart OBO

Karen M. Elliott

Director of Public Health

cc: County Administrative Office

Attachment(s)

Attachment A-Application Certification

Attachment B-Resolution

Attachment C-Guidelines for OPP11

**BEFORE THE BOARD OF SUPERVISORS
COUNTY OF TULARE, STATE OF CALIFORNIA**

IN THE MATTER OF RATIFY AND)
APPROVE A GRANT APPLICATION FOR) Resolution No. _____
THE CALIFORNIA DEPARTMENT OF) Agreement No. _____
RESOURCES RECYCLING AND
RECOVERY OIL PAYMENT PROGRAM

UPON MOTION OF SUPERVISOR _____, SECONDED BY
SUPERVISOR _____, THE FOLLOWING WAS ADOPTED BY THE
BOARD OF SUPERVISORS, AT AN OFFICIAL MEETING HELD _____
_____, BY THE FOLLOWING VOTE:

AYES:
NOES:
ABSTAIN:
ABSENT:

ATTEST: JASON T. BRITT
COUNTY ADMINISTRATIVE OFFICER/
CLERK, BOARD OF SUPERVISORS

BY: _____
Deputy Clerk

* * * * *

1. Ratified and approved a Used Oil Payment Program Application Certification for the CalRecycle Used Oil Program for funds to support the household hazardous waste used oil collection and disposal program, retroactive from July 7, 2020 through June 30, 2022. This application is retroactive due to the inadvertent delays in obtaining authorized signatures. It was impracticable for the Board to take action prior to July 7, 2022 due to the time needed to process, prepare, and submit the agenda item.
2. Found the Board had authority to approve and submit the Application Certification on July 7, 2020 and that it was in the County's best interest to submit the Application Certification on that date.
3. Ratified the Chairman's July 7, 2020 signature on the Used Oil Payment Program

Application Certification.

4. Approved the attached "Resolution of the Tulare County Board of Supervisors Approving Submittal of Application(s) for CalRecycle Used Oil Payment Programs Fund (OPP)."
5. Authorized the Chairman of the Board to sign three (3) copies of the attached "Resolution of the Tulare County Board of Supervisors Approving Submittal of Application(s) for CalRecycle Used Oil Payment Programs Fund (OPP)."



Used Oil Payment Program Application Certification

Tulare County

Fiscal Year: 2020-21

Cycle: OPP11

Program Requirements Summary

1) Public Resources Code 48691(a)(1)(2)

Ensures there is one Certified Used Oil Collection Center for every 100,000 residents.

2) Public Resources Code 48691(b)

Our program has a public education component that informs the public of locally available used oil recycling opportunities.

3) Are you currently participating in mediation mandated by AB506, have attempted to initiate such mediation or have you declared a fiscal emergency within the last 12 months?

No

Acceptance of Used Oil Payment Program Provisions

Applicant acknowledges that submittal of this application constitutes acceptance of all provisions as contained in the Used Oil Payment Program Guidelines. The Guidelines document is available at:

<http://www.calrecycle.ca.gov/UsedOil/LGPayments/>

Payment Information

Payment Option: April Payment Requested: Standard payment request

Payment Address: Tulare County Environmental Health , 5957 S Mooney Blvd, Visalia , CA 93277

Contact Type	Name	Title
Primary	Joel Martens	Environmental Health Supervisor
Secondary	Benjamin Mitchell	Administrative Specialist
Signatory Authority	Pete Vander Poel	Chairman

Document Type	Date*	Title
Resolution		Pending Upload
Application Certification		Pending Upload
Letter of Designation (LOD)		Pending Upload

* Document Due Date: 08/06/2020

Participant Jurisdiction	Document Type	Date
City of Dinuba		
City of Exeter		
City of Farmersville		
City of Lindsay		
City of Porterville		
City of Tulare		
City of Visalia		
City of Woodlake		

Penalty of Perjury Statement:

"I certify under penalty of perjury, under the laws of the State of California that I am authorized to sign this application on behalf of Applicant, that I have read the Used Oil Payment Guidelines and that to the best of my knowledge and belief that information provided in this Application is true and correct."

X

7/7/2020

Tulare County

Printed: 7/2/2020

Signature of Signature Authority (as authorized in Resolution) or
Authorized Designee (as authorized in Letter of Designation)

Pete Vander Poel

Print Name

Date

Chairman, Board of Supervisors

Print Title

IMPORTANT! Applicant must print out this page, obtain Signature of Signature Authority, upload signed document to the LoGOPP system, and retain the original document in Applicant's cycle file.

Approve As To Form:
County Counsel

By: Allison K. Pierce 07/29/2020
Deputy

Matter No: 2020851

Payment Program – Regional Lead Participant

**RESOLUTION AUTHORIZING SUBMITTAL OF A
REGIONAL PAYMENT PROGRAM APPLICATION
AS THE REGIONAL LEAD PARTICIPANT,
RELATED AUTHORIZATIONS AND IDENTIFICATION OF
PARTICIPATING JURISDICTION**

WHEREAS, pursuant to Public Resources Code sections 48000 et seq., 14581, and 42023.1(g), the Department of Resources Recycling and Recovery (CalRecycle) has established various payment programs to make payments to qualifying jurisdictions; and

WHEREAS, in furtherance of this authority CalRecycle is required to establish procedures governing the administration of the payment programs; and

WHEREAS, the payment program allows regional participation; and

WHEREAS, CalRecycle's procedures for administering payment programs require, among other things, a regional applicant's governing body to declare by resolution certain authorizations related to the administration of the payment programs.

NOW, THEREFORE, BE IT RESOLVED that TULARE COUNTY is authorized to submit a regional application on behalf of itself as Regional Lead Participant and the following designated participating jurisdictions: the Cities of Dinuba, Exeter, Farmersville, Lindsay, Porterville, Tulare, Visalia, and Woodlake for which TULARE COUNTY is eligible; and

BE IT FURTHER RESOLVED that the CHAIRMAN OF THE TULARE COUNTY BOARD OF SUPERVISORS, or his/her designee, is hereby authorized as Signature Authority to execute in the name of TULARE COUNTY all documents necessary, including but not limited to, applications, agreements, amendments, and requests for payment, necessary to implement and secure payment; and

BE IT FURTHER RESOLVED that this Resolution is effective for the term of the Used Oil Payment Program, July 1, 2019 to August 15, 2021

CHAIRMAN
TULARE COUNTY BOARD OF SUPERVISORS

DATE: _____



June 2020

Department of Resources Recycling and Recovery

Used Oil Payment Program Guidelines

11th Cycle (OPP11) – Fiscal Year 2020–21

Table of Contents

- Overview and Statutory Authority 3**
- Timeline and Cycle Activity 3
- Eligible Applicants 4
- Charter Cities 4
- Regional Application Requirements 4
- Joint Powers Authority Application Requirements 4
- Application Instructions 6**
- Application Access 6
- Application Contents and Instructions 6
- Program Requirements Tab 6
- Contacts Tab 6
- Addresses Tab 7
- Participating Jurisdictions Tab 7
- Documents Tab 7
- Application Submittal and Deadline 7
- Public Records Requests 7
- Application Process 8
- Application Documents 9**
- Electronic and Original Signatures 9
- Application Certification 9
- Authorizing Documents 9
- Resolution 9
- Joint Powers Agreement 10
- Letter of Authorization 10
- Letter of Designation 11
- Review and Award Process 12**
- Application Review Process 12
- Payment Award Process 12
- Payment Award Conditions 12
- Notice of Award 12
- Application Revisions 12
- Denial of Application 12
- Term and Payments 13
- Eligible Costs 13
- Expenditures 13

Expenditures Requiring Approval.....	13
Pre-Approved Expenditures	14
Ineligible Costs.....	15
Publicity, Education, and Outreach	16
Acknowledgement.....	17
Graphics.....	17
Certified Collection Center (CCC) Site Visit Requirements	18
Stormwater Mitigation	18
Annual Reporting	18
Expenditure Reporting	19
Unspent Funds.....	19
Audit, Accounting, and Records Retention.....	19
Discretionary Termination	20
Termination for Cause.....	20
Indemnity	20
Compliance	20

Submittal of a Used Oil Payment Program (OPP) Application constitutes acceptance of these Guidelines as the controlling requirements for receiving, spending, and accounting for OPP funds and for annual reporting. The application and these Guidelines shall constitute the OPP Agreement.

Overview and Statutory Authority

The Department of Resources Recycling and Recovery (CalRecycle) offers the Used Oil Payment Program pursuant to Section 48600 of the Public Resources Code.

The California Public Resources Code (PRC) section 48600 et seq. describes the California Oil Recycling Enhancement Act (Act), which, among other things, provides up to \$11 million annually in payments to local governments for implementation of local used oil and filter collection programs. The Department of Resources Recycling and Recovery (CalRecycle) is responsible for administering the OPP. These Guidelines describe the application and administrative processes to implement the OPP Cycle 11. Payment Recipients (recipients) are responsible and accountable for ensuring that expenditures are appropriate and that proper internal supporting documentation is maintained. To ensure full compliance with OPP's processes and requirements, OPP applicants/recipients must adhere to these Guidelines and the program provisions set out in the Act, notably PRC sections 48674, 48690, and 48691.

Note: The anticipated amount for FY 2020–21 is \$7,100,000. The amount available is subject to change, based on the availability of oil funds.

This resource document provides applicants with instructions to access and complete the application online and information about the administration of the Used Oil Payment Program. The web-based application is in CalRecycle's Local Government Oil Payment Program (LoGOPP) (<https://secure.calrecycle.ca.gov/LoGOPP/SignIn.aspx>). You will need to sign into LoGOPP to complete and submit an application.

Timeline and Cycle Activity

Dates	Activity
July 9, 2020	Application Due Date <ul style="list-style-type: none"> • Applications must be submitted in LoGOPP by 11:59 p.m. on this date. • Customer service will be available until 4:00 p.m.
August 6, 2020	Secondary Due Date <ul style="list-style-type: none"> • Approved Resolution must be uploaded in LoGOPP by this date. • If applicable, Letters of Authorization must be uploaded in LoGOPP by this date.
Spring 2021	Payments Awarded <ul style="list-style-type: none"> • Unless a Fall 2020 payment is requested by the applicant and subsequently approved by CalRecycle.
July 1, 2020 – June 30, 2022	Cycle Term Dates

Dates	Activity
August 15, 2021 and August 15, 2022	Annual Report Due Dates <ul style="list-style-type: none"> • Due no later than August 15 of each year during performance period. If the due date falls on a Saturday or Sunday, the online report must be submitted by the following Monday.

Eligible Applicants

Applicants are limited to local governments, which are defined in statute as "any chartered or general law city, chartered or general law county, or any city and county." CalRecycle may make a payment to another entity that will implement the program on behalf of a local government (in lieu of making a payment to that local government) with the agreement of that local government.

Applicants that comply with PRC sections 48690 and 48691 are eligible to apply for and, if approved, receive payment through the OPP. Applicants must have a used oil collection program which provides either of the following or a combination of the two:

- Ensures there is at least one certified used oil collection center (CCC) for every 100,000 residents not served by curbside collection.
- Provides used oil curbside collection at least once a month.

In addition to meeting at least one of the requirements above, applicants must have a public education component that informs the public of locally available used oil recycling opportunities.

Charter Cities

California Labor Code section 1782 prohibits a charter city from receiving state funding or financial assistance for construction projects if that charter city does not comply with Labor Code sections 1770-1782. If any applicants or participating jurisdictions are charter cities or joint powers authorities that include charter cities, the lead participating jurisdiction must certify that Labor Code section 1782 does not prohibit any included charter city from receiving state funds for the project described in this application. If it is determined after award that an applicant or a participating jurisdiction is a charter city prohibited from receiving state funds for their project, the award will be terminated and any disbursed OPP funds shall be returned to CalRecycle.

Regional Application Requirements

Local governments may join together in a regional application, in which two or more eligible jurisdictions join together for the purpose of project implementation. A Regional Lead Participant (Lead) must be designated to act on behalf of all participating jurisdictions. An entity that is not a local government, such as a district, can be delegated as a Lead but is not eligible to join as a participant. The Lead is the applicant who will be responsible for the performance of the OPP and all required documentation. CalRecycle will direct all official correspondence and payments to the Lead. If a jurisdiction is a participant in a regional application, it may not apply individually.

Joint Powers Authority Application Requirements

Joint Powers Authorities (JPA) may submit an application as an individual applicant. The JPA must upload a copy of its joint powers agreement giving it authority over used

oil management, listing all member entities, and containing the signature of all members. An entity may not submit an individual application if that entity is also a member of an applicant JPA.

Application Instructions

Application Access

The application is available on CalRecycle's online Local Government Oil Payment Program (LoGOPP) (<https://secure.calrecycle.ca.gov/LoGOPP/SignIn>). Access to LoGOPP is secure; therefore, you must have a CalRecycle WebPass in order to log into the system. Applicants who have not previously obtained a CalRecycle WebPass can create an account at the CalRecycle WebPass Site (<https://secure.calrecycle.ca.gov/WebPass/>). After you activated the account, you can request LoGOPP access by contacting your Program Advisor.

When you are ready to start an application, log into LoGOPP (<https://secure.calrecycle.ca.gov/LoGOPP/SignIn>), select "Applications" on the left. OPP cycles are displayed in a table. Click on "Add Application" button on the top right. The application then opens to the Program Requirements Tab.

Application Contents and Instructions

The components of the application are divided into tabs. To fill out an application, click on each tab and complete the sections in each tab as required. General directions are on the top of each tab and detailed information about the requirements for each tab are listed below.

The applicant is responsible for a complete application. This includes signing documents, uploading required documents, and submitting the application by the due date(s). Failure to do so will result in disqualification from the OPP. Examples of disqualifications may include:

- Applicant does not meet the eligibility requirements
- Uploading incomplete or blank documents to the Documents tab
- Signature Authority fails to sign Application Certification or any document that requires a signature
- The online application is incomplete or missing information

Program Requirements Tab

This tab provides a summary of the application, due dates, program requirement questions, payment options, important links, and the submit application button (once minimum requirements are complete). It is the applicant's responsibility to ensure that all required documents, based on the individual application/project, are submitted by the appropriate due date.

Contacts Tab

The application is required to have only one Primary Contact and at least one Signature Authority. Contacts will automatically populate based on your previous year's application, when applicable. You must verify that the contacts are still the same; otherwise, you can add, edit or delete contacts before you submit your application.

- **Primary Contact.** One person who has been authorized by the Signature Authority/Designee to manage and oversee the program. This person will be the first contact with whom the CalRecycle will communicate.
- **Signature Authority.** The person(s) authorized to sign CalRecycle documents, such as applications, annual reports, etc., as authorized by a board/council-

adopted Resolution, Letter of Designation, or Letter of Commitment (if applicable).

- **Secondary Contact.** A person authorized (by the Primary Contact or Signature Authority/Designee) as the alternate person with whom CalRecycle will communicate. (Not required)
- **Consultant.** A professional who provides advice in a particular area of expertise. If the applicant is awarded, the consultants may manage the program or only conduct specific activities, based on a written agreement between the applicant and the consultant outlining work to be performed. (Not required)

Addresses Tab

All applicants are required to enter a physical address and a payment address. There is also an option to enter a mailing address. If more than one address is the same, you may select the appropriate address types and enter the address only once.

Participating Jurisdictions Tab

Only regional applicants or Individual Joint Powers Authorities (JPA) applicants are required to use this tab. Individual applicants that are not JPAs will not use this tab. Only local governments are eligible as participating jurisdictions in a regional application. Select the name of each eligible participating jurisdiction, or each JPA member participating in the application, and move them to the left.

Documents Tab

When uploading a document, enter a document title and select the appropriate document type from the drop down list. Click the "Browse" button to locate the document you wish to upload. Once you have finished, click the "save" button. See the Authorizing Documents section below.

After all of the required application documents are uploaded, return to the **Program Requirements tab** and print the **Application Certification**. The applicant must obtain a wet ink signature from the authorized Signature Authority (identified in your Resolution or Letter of Commitment), scan the signed document, upload it, and retain the original hard copy document.

Application Submittal and Deadline

The **Submit Application** button will be enabled after the Application Certification has been uploaded. You may upload any authorizing documents such as the Resolution, Letter of Designation, Letters of Authorization, or JPA Agreements either at the time of submittal or by the secondary due date of August 6, 2020. Click the **Submit Application** button and then the application status will change to **Submitted**. The application can only be submitted once; however, you will be able to upload authorizing documents until the secondary due date.

Applications must be submitted no later than 11:59 p.m. on July 9, 2020. Customer service will be available until 4:00 p.m. on the application due date either by emailing grantassistance@calrecycle.ca.gov or calling Natalie Gandolfo at (916) 341-6809.

Public Records Requests

It is the policy of CalRecycle to make records requested by the public promptly available in accordance with the California Public Records Act Requests laws (<https://www2.calrecycle.ca.gov/Forms/ContactUs/PublicRecordsRequest/>) governing

disclosure of records and information to the public. In general, all records in the possession of a state agency are public records subject to disclosure, unless a law provides that a particular kind of record or information is not a public record or is exempt or prohibited from disclosure. Upon request, the entire contents of your submitted application are subject to public records requests. This may include contact information, project summary, uploaded documents, and scoring information.

Application Process

The application process requires that applicants must:

1. Submit a completed online application to CalRecycle by the application due date and upload an electronic (scanned) version of the signed application certification page in the online system.
2. Retain in its OPP file the original hard copy of the application certification page signed by the applicant's Signature Authority.
3. Submit the authorizing documents by the secondary due date (see the **Timeline and Cycle Activity** table for due date).

An important OPP11 application approval requirement includes the submittal of the following:

1. Submit a completed annual report in LoGOPP (see **Timeline and Cycle Activity** table for due date) for OPP9 and/or OPP10 by August 15, 2020. If the due date falls on a Saturday or Sunday, the online report must be submitted by the following Monday.
2. Have no outstanding debt(s) owed to CalRecycle.
3. Return any unspent funds from the closed cycle 9 to CalRecycle by August 15, 2020 (see **Unspent Funds** section).

If you have any questions regarding the application process, please call Natalie Gandolfo at (916) 341-6809 or send an e-mail to grantassistance@calrecycle.ca.gov. Technical assistance will be provided until 4:00 p.m. on the application due date.

Application Documents

Electronic and Original Signatures

CalRecycle documents or forms that certify legally binding information require an original wet ink signature. If a signature block with penalty of perjury language is provided please provide a wet ink signature. CalRecycle will accept electronic signatures on all other documents. If you have questions, email grantassistance@calrecycle.ca.gov.

Application Certification

The Application Certification is a required application document that must be generated from LoGOPP. After each tab of the application is complete, generate the Application Certification from the Program Requirements tab. A wet ink signature from the authorized Signature Authority (identified in your Resolution or Letter of Designation) is required. Once it is signed, scan the document, upload it, and retain the original hard copy document.

Authorizing Documents

Below is a list of documents that the applicant is responsible for preparing and uploading to their application. For examples of these documents, please see CalRecycle's [Resolution and Letter Examples webpage](https://www.calrecycle.ca.gov/funding/sampledocs) (<https://www.calrecycle.ca.gov/funding/sampledocs>).

Authorizing documents must be uploaded no later than 11:59 p.m. on August 6, 2020. Customer service will be available until 4:00 p.m. on the secondary due date.

Resolution

Any applicant that is subject to a governing body must upload a Resolution that authorizes payment program-related matters. A copy of the authorizing Resolution is a required application document that must be uploaded no later than the secondary due date or the application will be deemed incomplete and will be disqualified. Resolution requirements vary for individual and regional applications. See the [Resolution and Letter Examples](https://www.calrecycle.ca.gov/funding/sampledocs) (<https://www.calrecycle.ca.gov/funding/sampledocs>) for more information. CalRecycle staff are available to answer questions about the Resolution or letter examples, or to review your draft Resolution to ensure it meets the requirements of the program. You may upload the Resolution to your application as a Draft Resolution or for immediate review email it to grantassistance@calrecycle.ca.gov.

Individual Application Requirements:

1. The Resolution must authorize submittal of an application for one or more specifically named CalRecycle Payment Programs or for all CalRecycle Payment Programs for which the applicant is eligible.
2. The Resolution must identify the period of time, up to and including until rescinded, during which the authorizations are valid. "Until rescinded" Resolutions are encouraged; however, specific periods of time are acceptable. If a Resolution does not specifically identify a period of time, it will be considered valid for one year from the date of adoption.
3. The Resolution must identify the Signature Authority by listing the job title of the person(s) authorized to sign all payment program-related documents necessary to implement and close-out the project(s).

(Optional but encouraged): The Resolution should authorize the Signature Authority to delegate his/her Signature Authority to another person identified by job title.

Note: The Signature Authority must sign a Letter of Designation **prior** to the Designee's exercise of his/her authority.

Regional Application Requirements:

1. The Regional Lead Participant (Lead) must submit an approved Resolution that authorizes it to act as a lead for a regional program. This Resolution authorizes submittal of a regional application on behalf of the Lead and specifically named participants, and includes authorization letters from the participating jurisdictions authorizing the Lead to act on their behalf for this cycle.
2. If the Resolution is valid for more than one year, it is highly recommended that a) the list of participants be provided as an attachment rather than embedded in the Resolution, and b) the Signature Authority be authorized to revise the list as necessary with each subsequent application (this allows a Signature Authority to add or remove participants with each new application without the necessity of obtaining a new Resolution).
3. Participants subject to a governing body must provide a Letter of Authorization to the Lead authorizing the Lead to act on its behalf for this cycle. Letters of Authorizations may be valid for as long as the Lead's Resolution, not to exceed five years, otherwise must be dated within the last 12 months. Copies of the Letters of Authorization must be uploaded with the application by the secondary due date.

Note: Existing approved multi-year OPP Resolutions will be accepted. However, if a new Resolution is required, we encourage the use of general payment program language as shown in our [Resolution and Letter Examples](https://www.calrecycle.ca.gov/funding/sampledocs) webpage (<https://www.calrecycle.ca.gov/funding/sampledocs>).

Joint Powers Agreement

Joint Powers Authorities (JPA) must upload a copy of its joint powers agreement giving it authority to conduct the project, listing all member entities, and containing the signature of all members. Letters of Authorization are not required for JPA applicants.

Letter of Authorization

A Letter of Authorization is used for Regional applications. It is prepared by the Participating Jurisdiction and gives the Lead Participant authorization to apply for and to act on its behalf in the implementation and administration of the grant/program. The Lead must upload the Letter of Authorization no later than the secondary due date or the Participating Jurisdiction(s) will be removed from the application. The Lead Participant must maintain the letter with the original signature in their file. The letter must be on the Participant's official letterhead, signed by an individual authorized to contractually bind the Participating Jurisdiction, and include the information below.

- The letter may be valid for as long as the Lead's Resolution, not to exceed five years, otherwise must be dated within the last 12 months.
- It must authorize the Lead to submit a regional application and act as Lead Agency on behalf of the Participating Jurisdiction.
- It must authorize the Lead to execute all documents necessary to implement the grant.

Letter of Designation

A Letter of Designation is required only when the authorized Signature Authority title identified in the approved Resolution delegates his/her authority to another person. First, the approved Resolution must indicate the Signature Authority's ability to delegate or designate his/her authority. Second, the letter must be uploaded **prior** to the Designee's exercise of his/her authority. For example, if the Designee signs the Application Certification in place of the Signature Authority, the letter must be uploaded when the Application Certification is submitted. The letter must be on the applicant's letterhead, signed by the Signature Authority, and include the information below.

- Identify the job title of the Designee and the scope of the Designee's authority.
- Identify the period during which the Designee may exercise the authority. The Designee's authority may not extend beyond the effective date of the approved Resolution or Letter of Commitment. For example, if the Resolution is effective until December 31, 2022, then the Letter of Designation may not be effective beyond December 31, 2022. If the letter does not identify a valid time period, the letter will follow the same time frame as the Resolution.

Review and Award Process

Application Review Process

After the close of the application period, CalRecycle staff will review the applications for completeness and eligibility. Only complete applications will be considered for award.

Payment Award Process

For qualifying applications, CalRecycle staff will develop funding recommendations for the consideration and approval from CalRecycle's Director, or their designee; this is tentatively scheduled for October 2020.

Payment Award Conditions

When awarded, this payment will be subject to these conditions:

1. The recommended grantee must pay all outstanding debts owed to CalRecycle, or bring current outstanding payments owed to CalRecycle, within 60 days of the date of the award email.
2. The recommended payee must have returned any unspent OPP funds from all closed cycles to CalRecycle by August 15, 2020.
3. The recommended payee must have submitted a completed Annual Report in LoGOPP for their OPP Cycles by August 15, 2020. (This does not apply to new program applicants.)

Failure to comply with any of these requirements will void the award.

Notice of Award

Upon approval of annual reports and applications, recipients will be notified by email that an OPP award has been awarded and that they are authorized to incur costs and expend funds in furtherance of their programs. Expenditures incurred prior to the award notice, but after the cycle term start date, are subject to the OPP11 Guidelines, and are incurred at the recipient's own risk. A listing of the award amounts for all recipients will be posted on the [Used Oil Payment Program \(OPP\) webpage](https://www.calrecycle.ca.gov/usedoil/lgpayers/) (<https://www.calrecycle.ca.gov/usedoil/lgpayers/>).

Application Revisions

Once approved, the recipient/regional lead is contractually bound to carry out the used oil program in accordance with the OPP Guidelines. The recipient and/or any regional participant that submitted a Letter of Authorization cannot withdraw or amend their application.

Denial of Application

CalRecycle will notify an applicant and provide the reasons for denial of an application. In such cases, potential applicants are encouraged to contact and work with CalRecycle to ensure that its jurisdiction meets the necessary requirements prior to the next application cycle.

Term and Payments

The term of the OPP cycle is 24 months (refer to the **Timeline and Cycle Activity** table for the cycle term dates) and funds must be expended or returned to CalRecycle as described in the Unspent Funds section.

Payments must be placed into an interest bearing account. Tracking and reporting of interest earned (if any) on the payment is not required. All interest accrued and received from the OPP funds shall be used only for eligible expenses related to the performance of this Agreement.

As part of the application process, an applicant may request receipt of its annual payment in fall 2020 (around October) or spring 2021 (around April). For CalRecycle to approve the fall payment request, all funds from any previous OPP cycles must be spent. Otherwise, the standard spring payment will be issued.

Eligible Costs

All expenditures must be for the purposes of establishing and maintaining a used oil program as set forth in PRC sections 48690 - 48691 (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PRC§ionNum=48691.) Eligible costs must be incurred and accrued (if applicable) during the cycle term.

Expenditures

Used oil and used oil filter collection and recycling activities, educational activities, and mitigation of used oil in stormwater runoff are statutory components of local used oil collection programs (PRC section 48691). Accordingly, these activities should be the focus of OPP expenditures.

All expenditures are to be reported in LoGOPP for the Annual Report. Eligible expenditures must include invoice details under the Expenditure tab for each cycle. Expenditures must be paid for before submitting the Annual Report. Jurisdictions are not required to upload the invoices or proof of payment, unless requested by the program advisor.

Expenditures included in the Annual Report for an ending cycle may not be cancelled after the Annual Report is submitted. Cancellation of an ending cycle expenditure after submitting the Annual Report may impact the jurisdiction's ability to receive future awards.

The recipient must expend all of the available funds from the oldest OPP cycle prior to spending any funds from the most recent OPP cycle. It is acceptable to split an invoice across two separate OPP cycles in order to "zero out" all funds in the oldest OPP cycle before using funds from a more recent OPP cycle. Expenditures that are split need to be clearly identified and retained in both cycle files to facilitate review and retention (see the Audits, Accounting, and Records Retention section).

Expenditures Requiring Approval

Expenditures associated with the tasks or products listed below must be pre-approved by the CalRecycle Program Advisor using the "Expenditure Requests" option in LoGOPP prior to incurring the expense; otherwise, CalRecycle may deny the expense. The review and approval process of an expenditure request is processed through

LoGOPP only. The submitted material should be the final version. Allow at least five working days for a review.

Expenditures that require pre-approval include, but are not limited to:

- Functional items that contain any messages.
- Functional items if the total cost exceeds \$25 per person, an individual item that exceeds \$12, or an oil filter that exceeds \$15. All cost values are before sale tax.
- All television, video, radio scripts, social media, and electric/printed material for public display.
- Developing, purchasing, or distributing useful educational/promotional materials informing the public about used oil and used oil filter collection and recycling. Examples include, but are not limited to, newspaper advertisements, brochures, flyers, utility inserts, booklet, and promotional stickers/labels.
- School presentations (submit script and presentation purpose to the Program Advisor for review).
- Surveys (excluding simple surveys that are conducted at oil filter exchange events/used oil outreach booths).
- Purchase of equipment over \$500 (excluding sale tax).
- Any construction activity (includes improvements to facility/building(s)/site(s) directly related to used oil).
- Used oil stormwater mitigation activities pursuant to PRC section 48691 (refer to the Stormwater Mitigation section).
- Any item that is not included on the pre-approved list below.

Pre-Approved Expenditures

The following do not require an Expenditure Request for approval:

- Maintaining a used oil and filter program.
- Curbside used oil and used filter hauling/disposal costs.
- Booth rentals for used oil events. Anything less than 100% used oil related requires an Expenditure Request.
- Developing, purchasing and/or distributing the following used oil program materials that have a functional purpose associated with an environmental benefit: marine absorbent bilge pads, oil funnels, oil drain containers, oil change rags, new oil filters for exchanges, Ziploc-type filter bags, cardboard creepers, filter wrenches/removers, dirty filter recycling containers, maps of collection centers, and tide charts. If distributing these items in oil change kits, each kit cannot exceed \$25 (excluding sales tax) and each item, whether it is included in the kit or distributed separately, cannot exceed \$12 (excluding sales tax).
Reminder: Any messages on these functional items require an Expenditure Request.
- Used oil filter exchange activities for a free replacement oil filter of equal value (shelf price). Filters must not exceed \$15 (excluding sales tax) and the limit is two filters per household. Vouchers/coupons may be given out for managing and tracking exchanges but must be redeemed on the same date of the event. The oil filter cost is not counted towards the \$25 maximum allowed for oil change kits.
- Tablet electronic devices (typically seven inches or more measured diagonally) with a maximum price of \$500 (excluding sales tax) and limit of one tablet (exceptions may be approved on a case-by-case basis), used primarily for the purposes of used oil/filter public education or outreach. Additional costs can be spent on accessories for charging, item protection, and security at events.

- Certified Unified Program Agency (CUPA) or other agency inspection fees directly related to establishing and maintaining an effective oil and oil filter collection and recycling program.
- All lab fees related to testing oil samples for contamination in used oil tanks.
- Test kits and other equipment related to monitoring contaminants in oil tanks.
- Hazardous Waste Operations and Emergency Response (HAZWOPER) 8-hour refresher course.
- Purchase, rental, and installation costs of portable storage containers or sheds solely for protection of oil related equipment, activities, and/or supplies.
- Personnel costs directly related to OPP activities. Personnel time should be calculated based on documented actual time spent on OPP-related activities, should be based on the actual salary or hourly wage paid with benefits, and shall not include vacation or sick leave time. Refer to the Personnel Expenditure Summary, CalRecycle 165 form located on the [CalRecycle Grant Forms webpage](https://www.calrecycle.ca.gov/Funding/Forms/) (<https://www.calrecycle.ca.gov/Funding/Forms/>).
- Indirect/overhead costs up to 10 percent of the OPP eligible expenditures.
- Registration and related travel expenses to attend HHW/Used Oil Conferences/Symposiums (for up to two attendees) and Household Hazardous Information Exchange (HHWIE). Staying at a hotel the night before a meeting/event is allowed only if the meeting/event begins at or before 9:00 a.m. the next morning. Refer to the [Memorandum](https://www.calhr.ca.gov/employees/Pages/travel-reimbursements.aspx) (<https://www.calhr.ca.gov/employees/Pages/travel-reimbursements.aspx>) for travel policies. Reimbursement rates are subject to change at any time by the State without prior notification. **(Note: This limitation applies to recipients and consultants/contractors who administer and/or implement a program.)**

Any eligible costs not listed above require submission of an “Expenditure Request” in LoGOPP for review and approval before spending funds.

Ineligible Costs

Any expenditure that does not directly support used oil or used oil filter collection, recycling or educational activities, or mitigation of used oil in stormwater, or expenses not directly related to the recipient’s (or consultant’s/contractor’s) administration of the OPP are ineligible for funding.

Ineligible costs include, but are not limited to:

- Costs incurred or accrued before July 1, 2020 or after June 30, 2022.
- Developing, purchasing, or distributing strictly promotional give-away items ([Stuff We All Get, SWAG](#)). Examples include, but are not limited to, key chains, letter openers, squeegee toys, coffee mugs, water bottles, Frisbees, hats, t-shirts, chip clips, pens, pencils, beanie babies, screwdrivers, coloring books, small recycling containers for pencils, magnets, dried sponges, flash drives, reusable bags, etc.
- Developing or customizing school curricula. Exceptions may be approved on a case-by-case basis.
- Equipment or services not directly related to used oil activities.
- Purchase or lease of land or buildings.
- Costs currently covered by or incurred under any other loan, grant, or contract.
- Remediation activities (any cleanup or restoration of polluted areas).
- Purchase of data plans and/or mobile service plans/hotspots.
- Costs related to applications or software for computers/mobile devices.

- Costs related to website host and web page domain.
- HAZWOPER 40-hour and 24-hour courses.
- Enforcement activities.
- Preparation of Household Hazardous Waste (HHW) elements.
- Construction and development of any HHW facility that does not have a used oil or used oil filter collection component.
- Construction and development of any HHW permanent facility on non-local government-owned property.
- Any costs for construction projects by charter cities prohibited by Labor Code section 1782.
- Transportation and disposal of non-oil HHW from any facility or event.
- Maintaining equipment, materials, or supplies at HHW facilities or ABOP (antifreeze, battery, oil, and paint) facilities not directly related to the collection of used oil and used oil filters.
- Fines or penalties due to violation of federal, state, or local laws, ordinances, or regulations, including CUPA fines or penalties.
- Personnel costs not directly related to used oil activities.
- Food or beverages (e.g., as part of meetings, workshops, or events).
- Cell phones.
- Out-of-state travel.
- Travel costs exceeding the state-approved rates for mileage, per diem, lodging, etc. Refer to the Memorandum (<https://www.calhr.ca.gov/employees/Pages/travel-reimbursements.aspx>) for travel policies. Reimbursement rates are subject to change at any time by the State of California without prior notification.
- Sponsorship or licensing fees for events/programs.
- Audit expenses.
- Re-refined oil expenses.
- Non-CalRecycle Used Oil/HHW sponsored conferences, symposiums, meetings, etc.
- Any costs not consistent with local, state, or federal laws, guidelines, and regulations.
- Costs deemed unreasonable or not related to the project by the Program Advisor.

Publicity, Education, and Outreach

Used oil publicity, education, and outreach material must directly relate to the collection and recycling of used oil and/or used oil filters, proper oil disposal practices, and/or the mitigation of used oil in stormwater activities, and must be approved through the Expenditure Request process in LoGOPP. The submitted material should be the final version.

The materials must be functional, as discussed in Eligible Costs, encourage behavioral change, or provide an educational opportunity for the public.

- To be eligible for 100 percent funding, the publicity, education, and outreach materials must be exclusively devoted to used oil or used oil filter collection and recycling, and/or the mitigation of used oil in stormwater activities.
- To be eligible for 75 percent funding, the materials must have at least 75 percent of the text and/or images related to used oil or used oil filter collection and

recycling and/or mitigation of used oil in stormwater activities. The balance of materials may be related to other programs or materials.

- To be eligible for 50 percent funding, the materials must have at least 50 percent of the text or images related to used oil or used oil filter collection and recycling and/or mitigation of used oil in stormwater activities. The balance of materials may be related to other programs or materials.
- To be eligible for 25 percent funding, the materials must have at least 25 percent of the text or images related to used oil or used oil filter collection and recycling and/or mitigation of used oil in stormwater activities. The balance of materials may be related to other programs or materials.
- Materials containing less than 25 percent coverage of used oil/used oil filter collection and recycling, and/or mitigation of used oil in stormwater activities will not be eligible for OPP funding.

Acknowledgement

Recipients are required to acknowledge CalRecycle's support when activities or projects funded, in whole or in part, are publicized in any news media, social media, webpages, brochures, articles, seminars, or other type of promotional material. Public education and outreach materials must provide:

- The acknowledgement of CalRecycle's support must incorporate the CalRecycle logo. The text "Funded by" or "Funded in part by" may be used in conjunction with the CalRecycle logo, where appropriate. Initials or abbreviations for CalRecycle shall not be used. Use the color logo on any material produced in four or more colors.
- The "Used Oil Drop" logo with the words "Recycle Used Oil" or "Recycle Used Oil and Used Oil Filters" as appropriate. Use the state colors (blue oil drop on yellow background) for logos on any material produced in four or more colors. (The color designation for professional printing is Yale Blue-Pantone 286C; Golden Yellow-Pantone 123C.) The logo is also available in Spanish and Chinese.
- Information on used oil and used oil filter collection and recycling/disposal.
- A list of used oil collection centers within the targeted community or a local telephone number or website to obtain further information on local collection centers. (Use the 1-800-CLEANUP number or [Earth911](https://earth911.com/) (<https://earth911.com/>) website if your jurisdiction does not maintain its own 24-hour hotline.)

Any use of CalRecycle's logos should respect the original logo proportions and colors so as not to compromise image quality.

The Program Advisor may approve a deviation from the prescribed language on a case-by-case basis.

Graphics

Graphics are available on our [Used Oil Graphics webpage](https://www.calrecycle.ca.gov/UsedOil/Graphics/#Bumper) (<https://www.calrecycle.ca.gov/UsedOil/Graphics/#Bumper>) and [Used Oil and Household Hazardous Waste Graphics webpage](https://www.calrecycle.ca.gov/HomeHazWaste/outreach) (<https://www.calrecycle.ca.gov/HomeHazWaste/outreach>). For large equipment, a [CalRecycle bumper sticker](http://www.calrecycle.ca.gov/UsedOil/Graphics/#Bumper) (<http://www.calrecycle.ca.gov/UsedOil/Graphics/#Bumper>) "Funded by CalRecycle" is available and is required to be affixed to all large pieces of equipment purchased with OPP funds. These can be requested from your [Program Advisor](https://www.calrecycle.ca.gov/HomeHazWaste/Grants/Contacts/) (<https://www.calrecycle.ca.gov/HomeHazWaste/Grants/Contacts/>) when

available. You can also contact your Program Advisor for CalRecycle and oil program related graphics.

Certified Collection Center (CCC) Site Visit Requirements

For recipients who administer their used oil program in coordination with a CCC, it is a program requirement that a site visit be made annually to a minimum of one CCC site per 100,000 residents being served by the used oil program. When a site visit is conducted, the jurisdiction needs to complete a Certified Center Site Visit Checklist form (CalRecycle 664). This form may be generated on the [Generate Site Visit Checklists webpage](https://www2.calrecycle.ca.gov/UsedOil/Forms/SiteVisit/) (<https://www2.calrecycle.ca.gov/UsedOil/Forms/SiteVisit/>) or found under "Used Oil Recycling" on the [CalRecycle forms webpage](https://www.calrecycle.ca.gov/Funding/Forms) (<https://www.calrecycle.ca.gov/Funding/Forms>). The completed form is to be retained in the cycle file and jurisdictions will report the total number of site visits made by the jurisdiction in the online Annual Report.

Stormwater Mitigation

Since the passage of stormwater related legislation in January 2002, some stormwater mitigation expenditures are considered eligible expenses through the OPP. To be considered eligible, each jurisdiction must certify that it has a stormwater mitigation program that was approved by its local Regional Water Quality Control Board.

Stormwater mitigation is defined in PRC section 48618.4 to include "...the prevention of stormwater pollution from used oil and oil byproducts and the reduction or alleviation of the effect of stormwater pollution from used oil and oil byproducts by means of action taken on public property."

Mitigation includes the installation of devices and implementation of practices that prevent used oil and oil byproducts from causing stormwater pollution. Mitigation does not include the cleanup or restoration of polluted areas.

The following types of storm drain filters (inserts/debris screens) are eligible:

- A catch basin inlet insert that contains oil absorbent media plus a debris screen that covers the opening to the storm drain inlet. (The debris screen prevents debris and sediment from entering the storm drain and obstructing the oil absorbent media.)
- A vertical drop-in parking lot inlet insert that contains oil absorbent media (must be covered by a grate or debris screen).

Note: Periodic clean-out of catch basin inlet inserts to replace their oil-absorbent media are eligible for OPP funding. However, street sweeping and clean-out of catch basin inlets without inserts are not eligible for OPP funding.

The Expenditure Request process is required for any used oil stormwater mitigation, including at marinas and agricultural activities.

Annual Reporting

Annual reporting is a statutory requirement for ongoing eligibility for all OPP recipients. All reported expenditures and eligible costs must be paid for before the submittal of the Annual Report. Recipients must meet CalRecycle's online reporting requirements. The annual reports for this cycle must be submitted no later than **August 15** of each year during performance period. When a due date falls on a Saturday or Sunday, the online report must be submitted by the following Monday. Failure to meet this reporting due

date may result in the denial of future funding. An email will be sent to all recipients when the Annual Report is available for reporting input, completion, and submittal.

Upon completion of the online annual report, the report certification page must be approved and signed by the Signature Authority. The signed annual report certification page is to be uploaded to the online system by the recipient and the original signed hard copy is to be retained in the recipient's cycle file. If the recipient is unable to upload the signed annual report certification page, they should scan and email or fax it to their Program Advisor for uploading before the due date. Program Advisors will review and approve the submitted reports.

Expenditure Reporting

All eligible expenditures must be reported in the Annual Report through the LoGOPP system. The recipient is required to include information for each invoice under the Expenditures tab for each cycle. If you have multiple expenditures for a vendor with the "same category and type", you may combine the entry totals for the multiple invoices as one expenditure entry.

The [OPP Expenditure Category Definitions](https://www2.calrecycle.ca.gov/Docs/Web/117348) can be found at <https://www2.calrecycle.ca.gov/Docs/Web/117348> and [Bulk Entries Instructions](https://www2.calrecycle.ca.gov/Docs/Web/117351) can be found at <https://www2.calrecycle.ca.gov/Docs/Web/117351>. These resources may also be found on the LoGOPP homepage under the Help Documents section.

Unspent Funds

Funds that are unspent at the end of the OPP11 term must be returned by check to CalRecycle by **August 15, 2022**. Checks should be made payable to the Department of Resources Recycling and Recovery. Checks must contain the OPP number (i.e., OPP11-20-xxxx), specify "OPP Unspent Funds," and be mailed to:

CalRecycle, Accounting
OPP Unspent Funds
P.O. Box 4025
Sacramento, CA 95812-4025

Unspent funds due to CalRecycle but left unpaid may result in ineligibility for future OPP funding. If there are questions or other issues related to expenditures, work with your Program Advisor to resolve these issues.

Audit, Accounting, and Records Retention

Recipients are responsible and accountable for ensuring that expenditures are appropriate and that proper internal supporting documentation is maintained to provide clear separate tracking of OPP funds and related transactions for fiscal program management and audit purposes. To ensure full compliance with OPP's processes and requirements, OPP applicants/recipients must adhere to these Guidelines and the program provisions set out in the Act, notably PRC sections 48674, 48690, and 48691.

Recipients must account for all OPP funds in a manner that provides for clear tracking of expenditures in accordance with generally accepted accounting principles. Proper business procedures dictate that OPP supporting documentation (including, but not limited to, the original signed application certification and annual report summary pages, invoices, payroll registers/logs, travel expense claim forms, proof of pre-approval, etc.)

be retained in a single file to facilitate review and retention, as well as maintenance of a clear paper/audit trail. Recipients must follow their jurisdiction's purchasing and/or contracting policies/requirements and ensure the proper and exclusive use of items purchased with OPP funds.

OPP funds are subject to audit. CalRecycle, the Department of Finance, the Bureau of State Audits, or their designated representative(s) shall have the right to review and to copy any records and supporting documentation pertaining to the use of OPP funds and shall have the right to interview staff relevant to the audit. Recipient shall include this provision in all contracts and subcontracts funded in whole or in part from OPP funds.

Examples of documentation subject to audit include, but are not limited to, expenditure ledgers, payroll register entries, time sheets, travel logs, paid warrants, contracts and change orders, samples/pictures of items and materials developed with OPP funds, invoices, receipts, proof of pre-approval, and canceled checks. All such records shall be maintained for possible audit for a minimum of three years after the OPP term end date, or until completion of any action and resolution of all issues, which may arise as a result of any litigation, dispute, or audit, whichever is later.

Audit findings against recipients can result in the need for reimbursement of OPP funds, and/or ineligibility for future OPP funds.

Discretionary Termination

The Director of CalRecycle or his/her designee shall have the right to terminate this Agreement at his/her sole discretion at any time upon thirty (30) days written notice to the Recipient. Within forty-five (45) days of receipt of written notice, the recipient is required to:

1. Submit a final written report to the appropriate Program Advisor describing all work performed by the recipient and provide an accounting of all funds expended up to and including the date of termination; and,
2. Reimburse CalRecycle any unspent funds.

Termination for Cause

In the event the recipient fails to comply with the requirements of these Guidelines at the time and in the manner herein provided, CalRecycle may terminate the OPP Agreement.

Recipients are encouraged to discuss any problems they may have in complying with these Guidelines with their Program Advisor to determine if CalRecycle can be of assistance.

Indemnity

Recipient agrees to indemnify, defend, and save harmless the state and CalRecycle, and their officers, agents, and employees from any and all claims and/or losses accruing or resulting from the performance of OPP.

Compliance

Recipient shall comply fully with all applicable federal, state, and local laws, ordinances, regulations, and permits.